Action Rate Insurance Center 1 Harbor Drive, Suite 300 Sausalito, CA 94965 www.actionrate.com PHONE: (415) 324-9225 CA License # 0L88065

Insured Name	•				
Web Site:				FEIN:	
	complete pages 1	2 and the sections	nertinent		
Applicant mast	complete pages 1,	2 and the sections	Jerument.		
	Payroll Informa	ition		Premium Information	
Current Year					
Prior Year					
Prior Year					
Prior Year					
Prior Year					
OPERATIONAL	INFORMATION				
Description of opera	ations (if not provided o	n Acord 130):			
Hours of operation:		# of shifts:		Any 24 hr exposure:	Yes No
# of years in busines		Average employee te	nure with company:		
Have you ever filed	for bankruptcy within th	e past 7 years:	Yes No		
SAFETY INFO	RMATION				
	KIVIATION				
Active IIPP:		Yes No		nership in operations:	Yes No
Specific job training		Yes No	=	protective equipment:	Yes No
Respiratory progran Safety incentives:		Yes No	N/A If yes, type N/A Formal ret	e of PPE: turn to work program:	Yes No
Monthly safety mee	etings:	Yes No	N/A TOTTIAL TEL	turi to work program.	res No
Do you have a writte		Yes No			
Is it provided to all e			panish	lti	
Safety Director:		Yes No	□ N/A	···	
Risk manager emplo	oyed:	Yes No	□ N/A		
Do supervisors rece	ive specific safety trainir		Yes No] N/A	
Supervisors held acc	countable for injuries:		Yes No		
Condition of workpl	ace premises:		Good Averag	ge Poor	
Accident investigation	on program in place:		Yes No] N/A	
Written Lockout/Tag	gout/Blockout Procedure	e in place:	Yes No] N/A	
Material Safety Data	a Sheet available:		Yes No	N/A	
Hazardous Material	s Communication progra	ım in place:	Yes No	N/A	
Have Cal/OHSA cite	d risk's business in the la	ist year:	Yes No	N/A	
Is insured willing to	implement loss control	recommendations made	by the insurer:	Yes No	
Are employee requi	red breaks in the work h	ours strictly adhered to	for all employees:	Yes No	
Is training provided	to new hires and existin	g employees on proper ι	ise and maintenance of e	quipment:	Yes No
	· · · · · · · · · · · · · · · · · · ·	perly trained, if applicable	e:	Yes No N/A	
Has your company i	mplemented any ergono	omic safety procedures:	Yes No D	escribe:	
Describe equipment	t used: State of	the art Standard	for industry Modi	fied to standard	

1

REV 12.5.16

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EMPLOYMENT PRACTICES

Group medical provided:	Yes No	Currently in MPN: Yes No													
Percentage of employees enrolled:	%	Number of full time employees:													
Percentage paid by employer:	%	Number of part time employees:													
Disability insurance provided:	Yes No	Number of seasonal employees:													
Paid sick leave/vacation:	Yes No	Number of volunteer workers:													
Retirement/Pension:	Yes No	If applicable, length of season:													
Do you lease workers:	Yes No	Full time hours in work week:													
Are employees:	Union Non-union% Union														
Proximity to a medical clinic:	Less than 5 miles 5 - 10 miles 11 - 2	20 miles Over 20 miles													
Average employee wage for the gover	rning class: \$/hr. (e.	xclude officers/ directors salary from average)													
Average employee wage for the cleric	cal/sales: \$/hr. (e.	xclude officers/ directors salary from average)													
How are employees paid:	Hourly Salary Piece rate Commis														
Do you have an established method for	or reporting claims: Yes No														
RISK CHARACTERISTICS															
Annual MVR checks:	Yes No	Pre/Post employment MVR checks: Yes No													
Driving/ Delivery operations:	Yes No	Employees use personal vehicles for company: Yes No													
Purpose of driving operations:		Have a formal lifting policy and is it followed: Yes No													
Radius of operations:	0 - 25 miles	Lifting exposure: N/A													
	26 - 50 miles	Under 20 lbs													
	51 - 100 miles	20 - 40 lbs													
	101 - 200 miles	40 - 50 lbs													
	Over 200 miles	Over 50 lbs													
Have a driver safety policy:	Yes No Use of pairs/teams to	o lift large, heavy or awkwardly shaped objects: Yes No													
Are driver acceptability standards in p	olace: Yes No														
Number of vehicles used:	1	Number of authorized drivers:													
Frequency of driving/ delivery is:	Daily Weekly Monthly Infred	quent													
Any group transportation (4 or more e	employees, same vehicle): Yes No														
Any out of state or out of country trav	vel: Yes No														
Number of employees who travel ove	erseas each year:	Average duration of trips overseas:													
Average frequency of travel each year	r for those employees who travel overseas:	Countries involved:													
	RAINING/ QUALIFICATIONS														
Written application:		Formal job description on file: Yes No													
Reference checks:	Yes No	Employee orientation: Yes No													
Pre-hire drug testing:	Yes No	Personnel files documented for pre-existing injuries: Yes No													
Post-accident drug testing:	Yes No	Subcontractors used: Yes No %													
Random drug testing:	Yes No	if yes, certs of insurance kept: Yes No													
Pre/Post employ. physicals:	Yes No														
Hearing tests:	Yes No														
	ctual controls in place over subcontractors:														
Independent contractors/1099:	Yes No	Certs. of insurance kept: Yes No													
If yes to above, describe:															
Any work subbed out to uninsured an		No													
Describe:	. , .	egarding any potential injuries incurred during their work for you?													
Does the insured employ any person 6	60 years of age or older: Yes	□ No													
If yes, what are their job duties:															

2

REV 12.5.16

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AUTOMOTIVE

Is there a body shop on the premises:	Yes	<u> </u>	lo			C	Cont	ract towing	3:						Yes		No	1
Any ASE certified employees:	Yes		lo			N	Иob	ile repair o	per	ations:				\equiv	Yes	T	No	1
Is tire repair or installation performed:	Yes		lo			E	mei	rgency road	dsid	e repair se	ervice	es p	rovided:		Yes	T	No	1
If yes, what percentage:																		
Tire re-capping/retreading operations:	Yes	П	lo															
Any split rim work performed:	Yes	$\overline{\sqcap}$	lo															
Work on heavy vehicles/equipment over 2 ton:	Yes	$\overline{\Box}$	lo															
Any work performed on ATV's, recreational vehicles,	– busses, n	notorl	nomes,	moto	orcycl	es or oth	er h	neavy equip	me	nt:				\top	Yes	Т	7 No	
Are spray booths ventilated & Air Quality District cer	tified:			Yes	sГ	No								_	•	_		
Personal protective equipment provided and usage e	enforced:		Ē	Yes	_=	No No												
Is there a formal written respirator program:				Yes	_=	- No												
Respirators & filters approved/certified by OSHA:				Yes	_=	- No												
Are paints cleaning agents and flammable fluids prop	erly store	ed:	╌늗	Yes	_=	No												
Is there an eye wash and body wash facility:			╌늗	Yes	_=	No												
Number of service bays:																		
Are all openings in the floor properly caged/marked	off so as t	o pre	vent fal	ls:	Т	Yes	$\overline{}$] No										
Protocols for storage and disposal of gas, oil, rags and					▔	Yes	늗	l No										
						_	_	-										
TRANSPORTATION & WAREHOUSIN	G																	
Total number of drivers:						Pre/Pos	st er	nployment	M۱	/R checks:	:				Y	'es		No
Number of independent owner/operators:						Employ	ees	use person	nal v	ehicles fo	r con	npai	ny:		Y	'es		No
Is this a mobile crane operation:			Yes		No	Have a	forn	nal lifting p	olic	y and is it	follo	wed	d:		Y	'es		No
Is the insured enrolled in the Employer Pull Notice Pr	ogram:		Yes		No	Do drive	ers e	ever have o	ver	night trips	s/stay	/S:			Y	'es		No
Will drivers load & unload their trucks:		Ē	Yes		No	Any hau	ıling	of hazardo	ous	materials:	:				Y	'es		No
Do employees ever stand on top of their trucks or th	eir loads:		Yes		No	Have a	vehi	cle/fleet m	ain	tenance p	lan:					'es	一	No
Does the risk use any independent sub-haulers without	ut certifi	cates	of insu	rance	2:											'es	一	No
Are drivers with 3 or more moving violations or 1 at	fault accid	dent ir	n the la	st 3 y	ears	orohibite	d fr	om driving:	:							'es	一	No
Are drivers with any 2 point violation, reckless driving	g or DUI i	n the	last 5 y	ears	prohil	oited fro	m dı	riving:								'es	一	No
Radius of travel by percentage (total must equal 100	%):																_	
(less than 50 mi.) % (50 - 200 mi.)	%	(20	1 - 500	mi.)		%	(50)1 - 1,000 n	ni.)		%	(m	nore than	1,00	0 mi.)			%
, , , , , , , , , , , , , , , , , , , ,		,					,					<u> </u>						
SERVICE OR ARTISAN CONTRACTOR	c																	
		1												_		_		
Work performed 6 feet or more below grade:	<u>_</u> _	Yes		No				work with v				:		=	Yes	=	No	
Exposure to asbestos or other hazardous materials:	<u></u>	Yes	=	No				solar panel						=	Yes	=	No	
Remediation work performed of any kind:	<u></u>	Yes	=	No				confined sp	ace	exposure	es:		[`	Yes	<u>Ш</u>	No	
More than 50% work subcontracted:		Yes		No.		C	SLB											
Is the risk a framing contractor or will more than 15%				ming	;:	L	/	res 1	No									
Ops conducted below ground level:		of Ops																
Ops conducted at ground level:		of Ops			_							_						
Ops conducted between 0 and 6 feet:		of Ops						Ladders		Scaffoldi	ng		Cherry p	icke	r/booı	m		Other
Ops conducted between 6 and 12 feet:		of Ops					_	Ladders		Scaffoldi			Cherry p					Other
Ops conducted between 12 and 24 feet:	(% (of Ops	.)					Ladders		Scaffoldi			Cherry p					Other
Ops conducted above 24 feet:	(% (of Ops	i)		_		_	Ladders		Scaffoldi			Cherry p	icke	r/booı	m		Other
Max height at which your employees work:				Ν	/lax de	epth at w	/hich	n your emp	loy	ees will w	ork:							
Do you have a formal and documented fall protectio		n:								Yes		No						
Are shoring techniques mandated for over 3 Feet de	oth:									Yes	=	No						
Any scaffolding set up or take down operations:										Yes		No						
If so, are employees certified and is there a compete	nt person	perfo	orming	daily	inspe	ctions of	sca	ffolding:		Yes		No						
Any rooftop exposure:										Yes		No						
Does the risk have proper safety protocols regarding	material	handl	ing:						[Yes		No						

3

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JANITORIAL

Does the insured perform work in excess of 6 feet in depth:

Any carpet cleaning or floor polishing/waxing operations:				\Box	Yes	No
Any cleaning of hospitals or medical facilities other than "office" cleaning only (no bi	iohazard e	exposures a	llowed):	〒	Yes	No No
Any cleaning of industrial plants:	〒	Yes	No No			
Any construction site clean-up exposures (does not include Tenant improvement cle	〒	Yes	No No			
Any graffiti removal performed:	〒	Yes	No No			
Any group transportation of more than 4 employees in any vehicle at any one time:	〒	Yes	No No			
Are crews supervised during night shift:	一一	Yes	□ No			
Do employees go to more than one job site per day:	〒	Yes	□ No			
Do employees have set routes:				干	Yes	□ No
Does management inspect ladders on a regular basis (daily, month, etc.):	干	Yes	No			
HEALTHCARE, EDUCATIONAL & SOCIAL ASSISTANCE						
Are there written bloodborne pathogen safety protocols:					Yes	☐ No
Is there a formal employee training program:					Yes	☐ No
Is there a formal contact (fluid, solid, etc.) prevention policy:					Yes	☐ No
Is there a disease prevention policy:					Yes	☐ No
Any work with any patients that have communicable diseases (i.e. HIV, AIDS, TB, etc.	.):				Yes	☐ No
Is there a 12 hour shift maximum for all employees:		Yes	☐ No			
Are proper lifting devices (hoyer lifts, etc.) used for the transfer and or transport of p	\equiv	Yes	No			
Is there a formal lifting policy in place:	\equiv	Yes	No			
If there is a driving exposure, are MVR's checked for all drivers at least annually:	\equiv	Yes	No			
Is the radius of operations in excess of 100 miles:	$\neg \Box$	Yes	No No			
Ever any transportation of 3 or more employees in the same vehicle at the same tim	一	Yes	No			
Is the use of puncture resistant gloves, masks and other PPE mandated:	一	Yes	No			
Implementation of safety procedures for combative patients/residents/students:	〒	Yes	No			
Is housing provided to employees:	〒	Yes	No			
Does risk have any volunteer labor exposure:				〒	Yes	No
Any "live-in" care provided:				〒	Yes	□ No
Are driver acceptability standards in place:				〒	Yes	No No
Are there any volunteer exposures that would fall within the scope of activities assign	ned by th	is class cod	e:	〒	Yes	No No
Does the risk specialize in the care of bariatric clients:				〒	Yes	□ No
Does the risk specialize in the care of developmentally disabled clients:				〒	Yes	□ No
Is the use of masks, gloves and other PPE mandated:				〒	Yes	□ No
Is there a contact and disease prevention program in place:	〒	Yes	□ No			
Will employees visit more than 5 clients during their work day:	干	Yes	□ No			
Provide percentage of residents/patients: (Ambulatory) %	1)	Non-ambula	atory) %			
Percentage of skilled employees (RN, LVN) to non-skilled employees:	(Skille			%		
LANDSCAPING						
Does the risk perform land clearing or debris removal:	□ Va.		Highway daga daga 151 control 15			/os
More than 50% of exposure related to landscape construction or trenching:	Yes	No No	Highway/roadway/street median v	work:	_	es No
Any use of tractors, loaders, chippers, mulchers, booms or similar equipment:	Yes	No No	Removal of heavy boulders:		=	es No
Are employees transported in the open beds of pickup trucks:	Yes	∐ No	Any mature tree removal:		=	es No
	Yes	No No	Reforestation exposure:			es No
Any tree trimming performed off the ground:	Yes	No	(Max height) ft. (Max	depth	າ)	ft.

Yes

☐ No

4 REV 12.5.16

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Primary Crops:		Citrus		Grapes		Roots		Ground	l/bu	sh berr	ies		Melon	S		На	ıy		Tree	nuts		Cor	'n		Othe	r	
Primary Stock:	Ē	Cows	Ē	Sheeps	Ē	Horses	$\overline{\Box}$	Chicke	ns	П	urkey	<u>—</u> s [Oth	ner	_			_									
Does the risk ho	use	employe	es:														Yes		No)							
If yes, how many	' :			How ar	re er	nployees s	elect	ed for l	hous	sing:																	
Are family meml	ers	employe	ed:			-											Yes		No)							
Do employees d	o ar	y pestici	de/f	ertilizer apı	plica	tion:											Yes		No)							
If yes, do employ	ees	have pr	oper	certificatio	on ai	nd training	:										Yes		No)							
Proper training a	nd	precautio	ns t	o avoid he	at st	ress:											Yes		No)							
What is the max	mu	m height	ехр	osure:													N/A	4									
If there is a heigl	nt e	xposure,	doe	s the risk h	ave	a formal fa	II pre	eventio	n pro	ogram:							Yes		No) [N	/A					
How are heights	acc	essed:							La	dders		Che	rry Pic	kei	rs [Sci	sor l	ifts		Othe	er					
Will employees				-				onto gi	een	house	roofto	ps:					Yes		No) [N	/A					
Any use of ATVs	that	do not h	nave	seat belts	and,	or roll cag	es:										Yes		No)							
Are employees t	rans	ported i	n the	open bed	s of	pickup trud	cks:										Yes		No)							
Do employees w	ork	at more	thar	1 job site	duri	ng the cou	rse o	f the da	ay th	nat requ	iires t	hem	to use	th	eir pe	rsc	nal	vehi	cle to	trave	l bet	weer	site	s: [Y	'es	
HOTELS																											
Are average rooi	n ra	tes less t	han	\$70 a nigh	t:								Г	$\overline{}$	Yes	Г	\neg	No									
If applicable, are	tea	ms of 2 i	ısed	for flipping	g ma	ttresses or	mo	ving fur	nitu	re:			Ī	Ħ	Yes	Ī	=	No									
Does the risk co	ntai	n a swim	ming	or exercis	e clu	ıb:							Ī	Ħ	Yes	Ī	=	No									
Does the risk pro	vid	e any shu	ıttle	services:									Ī	Ħ	Yes	Ī	╡	No									
Does the risk pro	vid	e any val	et pa	arking serv	ices:								Ī	╡	Yes	Ī	╡	No									
Are any building	s ab	ove 6 sto	ries	:									Ī	Ħ	Yes	Ī	╗	No									
RESTAURAN Does the risk ha	/e a	ny off-sit						Yes		No	Any	y rob	bery o	as	ssault	ind	cide	nts ir	the l	ast 5	year	s:			Yes] No
Are all production	n a	reas outf	itted	with non-	slip 1	loors:		Yes		No	ls t	he es	stablish	m	ent lo	cat	ed v	vithii	n 1-m	ile of	a fre	eeway	/ :		Yes		No
Does insured pro	vid	e enterta	inm	ent:				Yes		No	Is t	he ri	sk a str	ee	t vend	lin	g co	nces	sionai	re:					Yes] No
Is the risk a bar/								Yes		No	Do	es ris	k empl	оу	secur	ity	gua	ards a	and/o	r bou	ncer	s:			Yes		No
Do employees cl	ean	grease t	raps	, hoods or	vent	s:		Yes		No																	
Is this a fast food								Yes		No																	
Does the risk ha	/e a	ny delive	ry o	perations:				Yes		No	De	liver	y radiu	s: _													
If delivery is perf	orn	ned, is th	ere a	any deliver	ies p	erformed a	after	10pm:				Yes	; <u> </u>] N	lo [] N/	A									
Does the risk ha		•										Yes	_	_	lo												
If establishment												Yes	; <u> </u>	N	lo [N/	A									
Does the insured					ure	with covere	ed ei	mploye	es:			Yes	· 🗆	N	lo												
Are there any sa	es (of alcoho	lic b	everages:				Yes		No	If y	es, v	vhat is	the	e perc	en	tage	of a	Icoho	lic be	vera	ge sa	les: _			_ %	
MANUFACT	UI	RING																									
Is the maintenar	ice i	of equipr	nent	outsource	d:								г	$\overline{}$	Yes	Г	$\overline{}$	No									
Is there a proper						:								╡	Yes	늄	=	No									
Is more than 509							d:							╡	Yes	F	=	No									
Employees using								operly (certi	fied:			<u> </u>	╡	Yes	Ť	=	No									
Is there any expo			•		•						ng:		<u> </u>	╡	Yes	Ť	=	No									
Proper lock out/			-										<u> </u>	╡	Yes	Ť	=	No									
Is machine guard						•	-		$\overline{}$	Point	of on	erati	on Γ	╡	Drive	m	_		n Γ	☐ Ge	ears	/cuttir	າg to	ols			
Average age of n					ess t	nan 2 years	s old		Bet	ween 2				╡					ears /				_		year	s old	
Any machinery 1	5 1/4	arc or o	dar			-					, -			≓	Yes						_				,		

5

REV 12.5.16

Yes No